



METROPOLITAN BOROUGH OF SEFTON

COUNCIL SUMMONS

To Members of the Metropolitan Borough Council

Dear Councillor

You are requested to attend a Meeting of the Sefton Metropolitan Borough Council to

be held on **Thursday 27th January, 2011 at 6.30 pm at the Town Hall, Southport** to

transact the business set out on the agenda overleaf.

Yours sincerely,

A handwritten signature in black ink that reads "M. Carney".

Chief Executive

Town Hall,
Southport

19 January 2011

Please contact Steve Pearce, Head of Committee and Member Services
on 0151 934 2046 or e-mail steve.pearce@sefton.gov.uk

This page is intentionally left blank.

AGENDA

1. Apologies for Absence

2. Declarations of Interest

Members and Officers are requested to give notice of any personal or prejudicial interest and the nature of that interest, relating to any item on the agenda in accordance with the relevant Code of Conduct.

3. Minutes

(Pages 7 - 24)

Minutes of the meeting held on 16 December 2010

4. Mayor's Communications

Public Session

5. Matters Raised by the Public

(Pages 25 - 26)

To deal with matters raised by members of the public within the Borough, in accordance with the procedures relating to Petitions, Public Questions and Motions set out in the Council and Committee Procedure Rules.

A petition with 3,329 signatures has been submitted objecting to the proposed closure of the Botanic Gardens Nursery operation (see attached note) and Mr S.D.Taylor, the organiser of the petition will be permitted to speak for a period of up to five minutes at the meeting, to speak in support of the petition.

(Details of any other Petitions notified or Questions submitted by members of the public in accordance with Rule 11 will be circulated at the meeting).

Council Business Session

6. Questions Raised by Members of the Council

To receive and consider questions to Cabinet Members, Chairs of Committees or Spokespersons for any of the Joint Authorities upon any matter within their portfolio/area of responsibility, of which notice has been given in accordance with Rule 12 of the Council and Committee Procedure Rules.

7. Constitution - Rules of Procedure - Budget Council

(Pages 27 - 30)

Report of the Monitoring Officer

8. **Adult Social Care Department I.T. Capital Programme** (Pages 31 - 38)
Report of the Strategic Director - Social Care and Wellbeing
9. **Big Idea 5 - Transforming Sefton - Voluntary, Community and Faith Sector Review - Stage 1**
Report of the Assistant Chief Executive (to follow)
10. **Transformation Programme and Further Options**
Report of the Chief Executive (to follow)
11. **REECH (Renewable Energy and Energy Efficiency in Community Housing) Project** (Pages 39 - 54)
Joint report of the Planning and Economic Development Director and Neighbourhood and Investment Programmes Director
12. **Membership of Committees 2010/11**
To consider any changes to the Membership of any committees etc.
13. **Notice of Motion by Councillor Sir Ron Watson**
To consider the following Motion submitted by Councillor Sir Ron Watson:

"This Council:

Supports the Prime Minister in his opposition to the introduction of an Alternative Voting (AV) system;

Believes that AV is an unfair system that unduly complicates the electoral process and gives fringe parties several votes whilst supporters of mainstream candidates have one vote only;

Considers that as there are only three countries in the world using AV – Fiji, Papua New Guinea and Australia, this hardly represents an endorsement of the system by the international community;

Agrees with the Deputy Prime Minister, who has described AV voting as "a miserable little compromise" and with the late Lord Jenkins of Hillhead who has described AV as "disturbingly unpredictable"; and

Believes that introducing such a system in the UK would further alienate the general public from the democratic process and considers that Sefton should restate its commitment to "first past the post".

14. Notice of Motion by Councillor Sir Ron Watson

To consider the following Motion submitted by Councillor Sir Ron Watson:

"This Council considers that the representations from Unison to the effect that there is an important financial issue in relation to school balances needs to be addressed and suggests that as the balances in Sefton schools is now in excess of £12 million, the Coalition Government should be urged to consider ways in which these amounts can be used possibly through more advantageous lending arrangements to alleviate the financial pressure on Sefton Council and on its service provision.

The Council support any moves in a pragmatic manner by Unison on both a local and national basis to help secure this flexibility.

On a local basis the Council will work with Unison and the schools in a constructive manner to identify service areas where the schools could reasonably be expected to meet the costs currently borne by all Council taxpayers from their own resources."

This page is intentionally left blank

THIS SET OF MINUTES IS NOT SUBJECT TO "CALL-IN"

COUNCIL

MEETING HELD AT THE TOWN HALL, BOOTLE ON THURSDAY 16TH DECEMBER, 2010

PRESENT: The Mayor (Councillor M Fearn) (in the Chair)
 The Deputy Mayor (Councillor Cummins) (Vice Chair)

Councillors Barber, Blackburn, Booth, Bradshaw, Brady, Brennan, Brodie - Browne, Byrom, Carr, K. Cluskey, L. Cluskey, Cuthbertson, Dodd, Doran, Dorgan, M. Dowd, P. Dowd, Dutton, Fairclough, Lord Fearn, Fenton, Friel, Gibson, Glover, Griffiths, Gustafson, Hands, Hardy, Hill, Hough, Howe, Hubbard, Ibbs, Jones, Kelly, Larkin, Maher, C Mainey, McGinnity, McGuire, Mclvor, Moncur, Papworth, Parry, Pearson, Porter, Preece, B Rimmer, D Rimmer, Robertson, Shaw, Sumner, Tattersall, Tonkiss, Tweed, Veidman, Sir Ron Watson, Weavers and Webster

46. APOLOGIES FOR ABSENCE

Apologies for absence were received from Councillors Byrne, Kerrigan, Mahon, S. Mainey and Preston.

47. DECLARATIONS OF INTEREST

The following declarations of interest were received:

Member	Minute	Reason	Action
Councillor Brady	58 - Transformation Programme and Further Options	Personal - He is the Council's representative on the Local Government Association Coastal Issues Special Interest Group which is affected by Option CE19a in the revised Appendix E of the report	Took part in the consideration of the Item and voted thereon

Agenda Item 3

COUNCIL- THURSDAY 16TH DECEMBER, 2010

Councillor Brennan	58 - Transformation Programme and Further Options	Personal - He is a Non-Executive Director of Sefton New Directions which is referred to in the proposals in Appendix A and he is employed by Sefton Council for Voluntary Service which is affected by Option CM26 in Appendix G of the report	Took part in the consideration of the Item and voted thereon
Councillor Brodie-Browne	58 - Transformation Programme and Further Options	Personal - His employer will be affected by proposals in Appendix A of the report and he is a Member of the Local Government Association General Assembly which is affected by Option CE19a in the revised Appendix E of the report	Took part in the consideration of the Item and voted thereon
Councillor Carr	58 - Transformation Programme and Further Options	Personal - He is a Member of the Unite Union which is affected by proposals in the report	Took part in the consideration of the Item and voted thereon

Councillor Cummins	58 - Transformation Programme and Further Options	Personal - His employer is referred to in the proposals relating to Social Care commissioned services in Appendix A and he is also a Non-Executive Director of NHS Sefton. As the Deputy Mayor, he is affected by the Option CE2 in the revised Appendix E of the report	Took part in the consideration of the Item and voted thereon
Councillor M. Fearn (The Mayor)	58 - Transformation Programme and Further Options	Personal - As the Mayor she is affected by the proposals in Option CE2 in the revised Appendix E of the report	Took part in the consideration of the Item and voted thereon
Councillor Friel	58 - Transformation Programme and Further Options	Personal - He is a Member of the GMB Union which is affected by proposals in the report	Took part in the consideration of the Item and voted thereon

Agenda Item 3

COUNCIL- THURSDAY 16TH DECEMBER, 2010

Councillor Hardy	58 - Transformation Programme and Further Options	Personal - Her family receive grant aid from Option CS9 in Appendix E and her mother is employed by the Council's Catering Service which is affected by Options CM2 and CM13 in Appendix F of the report	Took part in the consideration of the Item and voted thereon
Councillor Maher	58 - Transformation Programme and Further Options	Personal - He is a Governor of Springwell Park School which is affected by Option CS9 in the revised Appendix E and his wife is employed by the Council's Catering Service which is affected by Options CM2 and CM13 in Appendix F of the report	Took part in the consideration of the Item and voted thereon
Councillor McGinnity	58 - Transformation Programme and Further Options	Personal - He is a Member of the Unite Union which is affected by proposals in the report	Took part in the consideration of the Item and voted thereon

Councillor Moncur	58 - Transformation Programme and Further Options	Personal - He is the Council's representative on the Local Government Association Urban Commission which is affected by Option CE19a in the revised Appendix E of the report	Took part in the consideration of the Item and voted thereon
Councillor Parry	58 - Transformation Programme and Further Options	Personal - She is a Non-Executive Director of Sefton New Directions which is referred to in the proposals in Appendix A of the report	Took part in the consideration of the Item and voted thereon
Councillor B. Rimmer	58 - Transformation Programme and Further Options	Personal - He is the Council's representative on the Local Government Association Urban Commission which is affected by Option CE19a in the revised Appendix E of the report	Took part in the consideration of the Item and voted thereon
Councillor D. Rimmer	58 - Transformation Programme and Further Options	Personal - He is a Non-Executive Director of Sefton New Directions which is referred to in the proposals in Appendix A of the report	Took part in the consideration of the Item and voted thereon

Agenda Item 3

COUNCIL- THURSDAY 16TH DECEMBER, 2010

Councillor Shaw	58 - Transformation Programme and Further Options	Personal - His son is employed by the Council's Library Service which is affected by Option SCL7a in the revised Appendix E, Options SCL14a and SCL14b in Appendix F, and Options SCL7b, SCL8a and SCL13 in Appendix H of the report. He is also a Member of various Local Government Association bodies which are affected by Option CE19a in the revised Appendix E of the report	Took part in the consideration of the Item and voted thereon
Councillor Veidman	58 - Transformation Programme and Further Options	Personal - His employer is affected by proposals in Appendix A of the report	Took part in the consideration of the Item and voted thereon

Councillor Sir Ron Watson	52 - Standards Committee Annual Report 2009/10 and	Personal - He is a Board Member of Standards for England which is referred to in the report.	Took part in the consideration of the Items and voted thereon
	58 - Transformation Programme and Further Options	He is a Member of various Local Government Association bodies which are affected by Option CE19a in the revised Appendix E of the report	
Councillor Weavers	58 - Transformation Programme and Further Options	Personal - He is affected by the proposals relating to the Youth Offending Team referred to in Appendix A of the report	Took part in the consideration of the Item and voted thereon
Margaret Carney, Chief Executive	58 - Transformation Programme and Further Options	Personal - She is a Non-Executive Director of Sefton New Directions which is referred to in the proposals in Appendix A of the report	Took no part in the discussions on this Item

48. MINUTES

RESOLVED:

That the Minutes of the Council Meeting held on 21 October 2010 be approved as a correct record.

Agenda Item 3

COUNCIL- THURSDAY 16TH DECEMBER, 2010

49. MAYOR'S COMMUNICATIONS

Death of Mr. Vincent Platt

The Mayor reported on the sad death on 15 November 2010 of a former Member of this Council, Mr. Vincent Platt. Vincent originally represented Formby No. 16 Ward, which became Ravenmeols Ward, as Councillor from 1976 to 1996. He was re-elected in May 2000 and served Ravenmeols Ward until his retirement in May 2010. He will be especially remembered for his contribution to the Children's Services and his role as the Cabinet Member - Health and Social Care.

The Mayor and many others attended Vincent's funeral on Tuesday, 23 November 2010.

Councillor Parry paid tribute to Vincent Platt.

Death of Former Mayoress Mrs. Audrey White

The Mayor also reported on the sad death of ex-Mayoress Mrs. Audrey White on 8 December 2010 (her 90th birthday). Audrey had accompanied her husband, former Councillor Ernest White, on many of their engagements carried out during their term of office as Mayor and Mayoress during 1980/81.

Audrey's funeral would take place in Reading next Wednesday, 22 December 2010, but the family intend to hold a Memorial Service in Southport some time in January 2011. Details of this service would be disseminated as soon as they are known.

Councillor Glover paid tribute to Audrey White.

The Council then stood in silence for one minute as a mark of respect for Vincent Platt and Audrey White.

PUBLIC SESSION

50. MATTERS RAISED BY THE PUBLIC

The Mayor reported that members of the public had not submitted any petitions or questions.

COUNCIL BUSINESS SESSION

51. QUESTIONS RAISED BY MEMBERS OF THE COUNCIL

The Council considered a written question from Councillor Papworth to the Cabinet Member - Regeneration relating to the proposed redevelopment in Crosby, a written question from Councillor Shaw to the Cabinet Member - Leisure and Tourism relating to the Registration Service and a written

COUNCIL- THURSDAY 16TH DECEMBER, 2010

question from Councillor Tonkiss to the Cabinet Member - Technical Services relating to parking in Crosby Village. One supplementary question was put and responded to.

52. STANDARDS COMMITTEE ANNUAL REPORT 2009 -10

The Council considered the Annual Report of the Standards Committee for 2009/10 and Mr. Neil Edwards, the Independent Chair of the Committee outlined the contents of the report.

It was moved by Councillor Fairclough, seconded by Councillor Hill, and

RESOLVED:

That the report be noted.

53. SEFTON CITY LEARNING CENTRES - CAPITAL REDEVELOPMENT FUNDING

Further to Minute No. 163 of the Cabinet Meeting held on 16 December 2010, the Council considered the report of the Strategic Director - Children, Schools and Families seeking approval to the implementation of a scheme in which a range of innovative ICT equipment would be purchased and used to support schools in transforming teaching and learning across all national curriculum stages, which would be funded from the Capital Redevelopment Grant Allocation for 2010/11.

It was moved by Councillor Robertson, seconded by Councillor Blackburn and

RESOLVED:

That approval be given to the inclusion of the scheme in the Children, Schools and Families Capital Programme 2010/11, to be funded entirely from specific resources.

54. STRATEGIC ASSET MANAGEMENT PROPERTY INTERVENTION FUND

Further to Minute No. 150 of the Cabinet meeting held on 25 November 2010, the Council considered the report of the Strategic Director - Communities on proposals for the inclusion of a Strategic Asset Management Property Intervention provision within the Capital Programme to be funded from the proceeds of asset disposals, to address ongoing Property Management issues.

It was moved by Councillor Robertson, seconded by Councillor Brodie-Browne and

RESOLVED: That

Agenda Item 3

COUNCIL- THURSDAY 16TH DECEMBER, 2010

- (1) approval be given to the establishment of a £500,000 Strategic Asset Management Property Intervention provision within the Capital Programme to be funded and maintained from capital receipts derived from asset disposals; and
- (2) approval be given to the amendment of Part 3 of the Council Constitution (Responsibility for Functions) in order to give the management responsibility for the Strategic Asset Management Property Intervention provision to the Strategic Director - Communities, in conjunction with the Head of Corporate Finance and ICT.

55. FLOOD RISK MANAGEMENT

Further to Minute No. 152 of the Cabinet Meeting held on 25 November 2010, the Council considered the report of the Environmental and Technical Services Director on the progress made on applications for grant aid funding from the Environment Agency for Flood Risk Management Schemes.

It was moved by Councillor Robertson, seconded by Councillor Brodie-Browne and

RESOLVED:

That approval be given to the following schemes being included in the Capital Programme, to be fully funded from ring-fenced Environment Agency grant and a revenue contribution from within the Council's existing Land Drainage Works Budget:

(i)	Claremont Avenue, Maghull Land Drainage investigation	£ 35,000
(ii)	Thornton and Lunt Land Drainage Investigation	£ 39,000
(iii)	Dobbs Gutter Flood Alleviation Study	£ 41,000
(iv)	Surface Water Management Plan	£120,000(including £20,000 Revenue contribution)

56. PROTOCOL FOR LIFTING THE MORATORIUM ON THE SITING OF MOBILE PHONE MASTS ON COUNCIL LAND

Further to Minute No. 135 of the Cabinet Meeting held on 28 October 2010 the Council considered the report of the Environmental and Technical Services Director on the implications of amending the draft protocol to govern the lifting of the current moratorium on the siting of telephone transmission masts on Council owned land by including the Area Committees in the site selection and consultation process and by delegating to the relevant Area Committee the decision to authorise the use of Council land for the siting of telephone transmission masts.

COUNCIL- THURSDAY 16TH DECEMBER, 2010

This was a Key Decision and was included in the Council's Forward Plan of Key Decisions.

It was moved by Councillor Robertson, seconded by Councillor Brodie-Browne and

RESOLVED: That

- (1) the revised protocol be noted; and
- (2) approval be given to the amendment of Part 3 of the Council Constitution (Responsibility for Functions) by the inclusion of the following text:

"AREA COMMITTEES - Specific Delegations

Environmental and Technical Services

Determination of applications for permission to site mobile phone masts on Council owned land within the Wards covered by the Area Committee, in accordance with the Council's protocol."

57. DRAFT REVISED STATEMENT OF LICENSING POLICY - LICENSING ACT 2003

Further to Minute No. 35 of the Meeting of the Licensing and Regulatory Committee held on 25 October 2010, the Council considered the report of the Environmental and Technical Services Director on the revised Statement of Licensing Policy.

The report indicated that under the Licensing Act 2003, all Licensing Authorities were required to prepare and publish a 'Statement of Principles' for exercising their functions under the Act every three years, the current Policy having come into force on 7 January 2008 and consultation on the Council's current Licensing Policy Statement had taken place between 28 June 2010 and 19 September 2010.

A copy of the latest revised Licensing Policy Statement was attached at Annex 1 and details of the consultation exercise were attached at Annex 2 to the report.

It was moved by Councillor McGuire, seconded by Councillor D. Rimmer and

RESOLVED:

That the revised Statement of Licensing Policy as set out in Annex 1 of the report be approved, to come into force on 7 January 2011.

Agenda Item 3

COUNCIL- THURSDAY 16TH DECEMBER, 2010

58. TRANSFORMATION PROGRAMME AND FURTHER OPTIONS

Further to Minute No. 162 of the Cabinet Meeting held on 16 December 2010, the Council considered the report of the Chief Executive which provided an update on the Transformation Programme and set out recommendations on the relative priority of Council services in the light of the forecast savings required, following the Government's Comprehensive Spending Review. This prioritisation informs the identification of budget savings options which were set out in the report to reduce the 2011/12 - 2013/14 budget gap.

An extract of Minute No. 162 of the Cabinet meeting held on 16 December 2010 was circulated at the meeting together with the revised recommendations to Council and a revised Appendix E (Tactical Savings Options).

This was a Key Decision and was included in the Council's Forward Plan of Key Decisions.

Mr. Glen Williams, Branch Secretary of Unison addressed the Council on the trade union perspective on the Transformation Programme and the Budget Position generally.

It was then moved by Councillor Robertson, seconded by Councillor Brodie-Browne:

That:

1. the progress to date on the Transformation Programme be noted;
2. the assessment of critical, frontline and regulatory services as defined in Appendices A, B and C of the report be approved;
3. the associated savings set out in Appendix D of the report be approved;
4. the tactical savings options as set out in the revised Appendix E of the report be approved;
5. the changes to the Medium Term Financial Plan be approved as set out in the report and it be noted from the illustrative assumption that corporate and departmental management and admin can be reduced by 25%;
6. officers be authorised to commence a consultation process with employees and Trade Unions with a view to reaching an agreement on the Terms and Conditions issues outlined in paragraph 4.4 of the report, including the issue of relevant statutory and contractual notifications, if appropriate to achieve change;

COUNCIL- THURSDAY 16TH DECEMBER, 2010

7. the Council meeting scheduled for Thursday, 13 January 2011 in Southport Town Hall be postponed until Thursday, 27 January 2011 to allow time for political groups to consider the prioritisation of 'other services'.
8. the savings options set out in Appendix F of the report to achieve savings of £2.4m be approved;
9. the savings options (external funding review) set out in Appendix G of the report be approved;
10. the savings options (tactical savings Table A) set out in Appendix H of the report, be approved; and
11. the savings options (tactical savings Table B) identified in Appendix H of the report, be approved.

An **amendment** was then moved by Councillor Maher and seconded by Councillor Moncur that the motion be amended by the addition of the following text at the end of Resolution (4):

Subject to the deletion of the saving option CS9 (Cease School Clothing Grant)

The requisite number of Members having then signified their wish that the voting on the amendment should be recorded in accordance with Rule 18.4 of the Council and Committee Procedure Rules, the voting was duly recorded and the Members of the Council present at the time, voted as follows:

FOR THE AMENDMENT:

Councillors Bradshaw, Brady, Brennan, Byrom, Carr, K. Cluskey, L. Cluskey, Cummins, M. Dowd, P. Dowd, Fairclough, Friel, Gustafson, Hardy, Kelly, Maher, McGinnity, Moncur, Tweed, Veidman and Webster.

AGAINST THE AMENDMENT:

Councillors Barber, Blackburn, Booth, Brodie-Browne, Cuthbertson, Dodd, Doran, Dorgan, Dutton, Mrs. M. Fearn, Lord Fearn, Fenton, Gibson, Glover, Griffiths, Hands, Hill, Hough, Howe, Hubbard, Ibbs, Jones, Larkin, C. Mainey, McGuire, McIvor, Papworth, Parry, Pearson, Porter, Preece, B. Rimmer, D. Rimmer, Robertson, Shaw, Sumner, Tattersall, Tonkiss, Sir Ron Watson and Weavers

The amendment was **lost** by 40 votes to 21

Agenda Item 3

COUNCIL- THURSDAY 16TH DECEMBER, 2010

A further **amendment** was then moved by Councillor Moncur and seconded by Councillor Maher that the motion be amended by the addition of the following text at the end of Resolution (10):

Subject to the deletion of the saving option CS5 (Post 16 Transport)

On a show of hands, the amendment was **lost** by 40 votes to 21

A further **amendment** was then moved by Councillor Hardy and seconded by Councillor Friel that the motion be amended by the addition of the following text at the end of Resolution (9):

Subject to the deletion of the saving options CM17a (Cease Neighbourhood Cleansing) and CM49 (Cease Cleansing – Linacre Bridge Team)

On a show of hands, the amendment was **lost** by 40 votes to 21

A further **amendment** was then moved by Councillor Brennan and seconded by Councillor K. Cluskey that the motion be amended by the addition of the following text at the end of Resolution (8):

Subject to the deletion of the saving option SCL1c (Arts and Cultural Services)

On a show of hands, the amendment was **lost** by 40 votes to 21

A further **amendment** was then moved by Councillor Friel and seconded by Councillor McGinnity that the motion be amended by the addition of the following text at the end of Resolution (4):

Subject to the deletion of the saving option CM37 (Cease funding for Opportunities Shop); and

the addition of the following text at the end of Resolution (9):

Subject to the deletion of the saving option CM44 (Cease Good Neighbour Skips)

On a show of hands, the amendment was **lost** by 40 votes to 21

A further **amendment** was then moved by Councillor McGinnity and seconded by Councillor Carr that the motion be amended by the addition of the following text at the end of Resolution (9):

COUNCIL- THURSDAY 16TH DECEMBER, 2010

Subject to the deletion of the saving options CM48a (Cease Cleansing – Fly Tipping/Graffiti) and CM51a (Cease Cleansing – Arterial Routes)

On a show of hands, the amendment was **lost** by 40 votes to 21

A further **amendment** was then moved by Councillor Gustafson and seconded by Councillor Friel that the motion be amended by the addition of the following text at the end of Resolution (9):

Subject to the deletion of the saving option SCL18 (Free and Active)

On a show of hands, the amendment was **lost** by 40 votes to 21

A further **amendment** was then moved by Councillor Byrom and seconded by Councillor Moncur that the motion be amended by the addition of the following text at the end of Resolution (4):

Subject to the deletion of the saving option CE19a (Cease membership of Local Government Association)

On a show of hands, the amendment was **lost** by 38 votes to 21 with 2 abstentions

Following a period of debate, it was moved by Councillor Parry, seconded by Councillor Porter and

RESOLVED:

That the meeting be adjourned for a period of ten minutes to enable Councillor Porter to seek legal advice from the Acting Head of Corporate Legal Services (Monitoring Officer).

Following the adjournment, the meeting resumed and the Mayor requested the Members of the Public present to refrain from disrupting the meeting or she would request that the Public be excluded from the meeting.

Following further debate, an **amendment** was then moved by Councillor Robertson and seconded by Councillor Brodie - Browne that the motion be amended by the addition of the following text at the end of Resolution (4):

Subject to the deletion of the saving option SCL7a (Libraries - Closures), to allow the option to be postponed pending the outcome of the review of the Library Service and the lease for the Mobile Library vehicle be extended for twelve months.

On a show of hands, the amendment was **carried** by 40 votes to 21.

Agenda Item 3

COUNCIL- THURSDAY 16TH DECEMBER, 2010

Following further debate, the requisite number of Members having signified their wish that the voting on the Substantive Motion should be recorded in accordance with Rule 18.4 of the Council and Committee Procedure Rules, the voting was duly recorded and the Members of the Council present at the time, voted as follows:

FOR THE SUBSTANTIVE MOTION:

Councillors Barber, Blackburn, Booth, Brodie-Browne, Cuthbertson, Dodd, Doran, Dorgan, Dutton, Mrs. M. Fearn, Lord Fearn, Fenton, Gibson, Glover, Griffiths, Hands, Hill, Hough, Howe, Hubbard, Ibbs, Jones, Larkin, C. Mainey, McGuire, Mclvor, Papworth, Parry, Pearson, Porter, Preece, B. Rimmer, D. Rimmer, Robertson, Shaw, Sumner, Tattersall, Tonkiss, Sir Ron Watson and Weavers.

AGAINST THE SUBSTANTIVE MOTION:

Councillors Bradshaw, Brady, Brennan, Byrom, Carr, K. Cluskey, L. Cluskey, Cummins, M. Dowd, P. Dowd, Fairclough, Friel, Gustafson, Hardy, Kelly, Maher, McGinnity, Moncur, Tweed, Veidman and Webster.

The Substantive Motion was **carried** by 40 votes to 21 and it was

RESOLVED: That

1. the progress to date on the Transformation Programme be noted;
2. the assessment of critical, frontline and regulatory services as defined in Appendices A, B and C of the report be approved;
3. the associated savings set out in Appendix D of the report be approved;
4. the tactical savings options as set out in the revised Appendix E of the report be approved subject to the deletion of the saving option SCL7a (Libraries - Closures), to allow the option to be postponed pending the outcome of the review of the Library Service and the lease for the Mobile Library vehicle be extended for twelve months;
5. the changes to the Medium Term Financial Plan be approved as set out in the report and it be noted from the illustrative assumption that corporate and departmental management and admin. can be reduced by 25%;
6. officers be authorised to commence a consultation process with employees and Trade Unions with a view to reaching an agreement on the Terms and Conditions issues outlined in paragraph 4.4 of the report, including the issue of relevant statutory and contractual notifications, if appropriate to achieve change;

COUNCIL- THURSDAY 16TH DECEMBER, 2010

7. the Council meeting scheduled for Thursday, 13 January 2011 in Southport Town Hall be postponed until Thursday, 27 January 2011 to allow time for political groups to consider the prioritisation of 'other services'.
8. the savings options set out in Appendix F of the report to achieve savings of £2.4m be approved;
9. the savings options (external funding review) set out in Appendix G of the report be approved;
10. the savings options (tactical savings Table A) set out in Appendix H of the report, be approved; and
11. the savings options (tactical savings Table B) set out in Appendix H of the report, be approved.

(In accordance with Rule 18.5 of the Council and Committee Procedure Rules, the following Councillors requested that their votes against Resolutions (3) to (6) and (8) to (11) above be recorded, namely:

Councillors Bradshaw, Brady, Brennan, Byrom, Carr, K. Cluskey, L. Cluskey, Cummins, M. Dowd, P. Dowd, Fairclough, Friel, Gustafson, Hardy, Kelly, Maher, McGinnity, Moncur, Tweed, Veidman and Webster.

59. MEMBERSHIP OF COMMITTEES 2010/11

There were no changes made to the membership of Committees.

This page is intentionally left blank

BOTANIC GARDENS NURSERY CLOSURE

3160 + PETITION FOR JAN 27TH COUNCIL

We the signatories object strongly to the proposed closure of the Botanic Gardens Nursery operation with the consequential loss of nine loyal employees and potential income from sales.

We consider the loss of services to the community of Sefton is not worth the stated projected savings, and that extra nursery revenue could compensate.

We urge the council to reconsider the proposal and properly investigate the working practices and finances with the staff in order to find ways of maximising revenue and savings, with a view to avoiding closure of the award winning Botanic Gardens Nursery.

We consider the Botanic Gardens Nursery and its expert staff to be an asset to the whole borough of Sefton for many reasons, and should remain in council control.

Petition Organiser for the people

Mr S.D.Taylor. (Chairman, The Southport Party)

D.C.Cobham. (secretary)

This page is intentionally left blank

Agenda Item 7

REPORT TO: Cabinet
Council

DATE: 27th January 2011
27th January 2011

SUBJECT: Constitution – Rules of Procedure – Budget Council

**WARDS
AFFECTED:** All

REPORT OF: David McCullough
Monitoring Officer

**CONTACT
OFFICER:** David McCullough
Acting Head of Corporate Legal Services & Monitoring
Officer
0151 934 2032

**EXEMPT/
CONFIDENTIAL:** No

PURPOSE/SUMMARY:

To consider amending the Constitution for the Budget setting Council meeting on 3rd March 2011 and for all Budget setting Council meetings thereafter.

REASON WHY DECISION REQUIRED:

The Constitution currently limits the time members may speak at Council and restricts the manner in which a decision made at Council in the past six months may be rescinded and provides that a motion or amendment in similar terms to one that has been rejected at Council in the past six months may not be moved unless the notice of motion or amendment is signed by at least 22 members.

RECOMMENDATION(S):

That the Constitution be amended to provide that the provisions of Rule 15.4 (Content and length of speeches) and 17 (previous decisions and motions) of the Council and Committee Procedure Rules be suspended only to enable statements to be made on behalf of the three Political Groups on the forthcoming years Revenue Budget and to allow Political Group Leaders to move amendments to the forthcoming Revenue Budget where items have been previously debated and voted upon at a Council meeting in the past six months.

Agenda Item 7

KEY DECISION: No

FORWARD PLAN: Not appropriate

IMPLEMENTATION DATE: Subject to the decision of Council on 27th January 2011

ALTERNATIVE OPTIONS: Not to amend the Constitution in this way will stifle debate and restrict the options available for members when setting the Budget.

IMPLICATIONS:

Budget/Policy Framework:

Financial:

<u>CAPITAL EXPENDITURE</u>	2009 2010 £	2010/ 2011 £	2011/ 2012 £	2012/ 2013 £
Gross Increase in Capital Expenditure				
Funded by:				
Sefton Capital Resources				
Specific Capital Resources				
<u>REVENUE IMPLICATIONS</u>				
Gross Increase in Revenue Expenditure				
Funded by:				
Sefton funded Resources				
Funded from External Resources				
Does the External Funding have an expiry date? Y/N	When?			
How will the service be funded post expiry?				

Legal: The approval of Full Council is required to amend the Constitution.

Risk Assessment: Not appropriate

Asset Management: Not appropriate

CONSULTATION UNDERTAKEN/VIEWS

CORPORATE OBJECTIVE MONITORING:

<u>Corporate Objective</u>		<u>Positive Impact</u>	<u>Neutral Impact</u>	<u>Negative Impact</u>
1	Creating a Learning Community		✓	
2	Creating Safe Communities		✓	
3	Jobs and Prosperity		✓	
4	Improving Health and Well-Being		✓	
5	Environmental Sustainability		✓	
6	Creating Inclusive Communities		✓	
7	Improving the Quality of Council Services and Strengthening local Democracy	✓		
8	Children and Young People		✓	

LIST OF BACKGROUND PAPERS RELIED UPON IN THE PREPARATION OF THIS REPORT

Agenda Item 7

1. Background

- 1.1. Rule 15.4 of the Constitution provides that speeches at Council meetings may not exceed eight minutes without the consent of the Council, and any such extension of time granted shall not exceed a further three minutes.
- 1.2. Rule 17.1 of the Constitution provides that a motion to rescind a decision made at a meeting of the Council within the past six months cannot be moved unless the motion is signed by at least 22 members.
- 1.3. Rule 17.2 of the Constitution provides that a motion or amendment in similar terms to one that has been rejected at a meeting of Council in the past six months cannot be moved unless the notice of motion or amendment is signed by at least 22 members. Once the motion or amendment is dealt with, no one can propose a similar motion or amendment for six months.
- 1.4. Given the extent and detail of matters which will need to be considered in setting the Council's budget and the importance of the same, it has been recognised in the past that the normal procedural rules as set out above should be suspended for the budget setting Council meeting in order to allow for a comprehensive debate and to allow Political Groups more scope to propose budgets.

2. Recommendation

- 2.1. That the Constitution be amended to provide that the provisions of Rule 15.4 (Content and length of speeches) and 17 (previous decisions and motions) of the Council and Committee Procedure Rules be suspended only to enable statements to be made on behalf of the three Political Groups on the forthcoming years Revenue Budget and to allow Political Group Leaders to move amendments to the forthcoming Revenue Budget where items have been previously debated and voted upon at a Council meeting in the past six months.

Agenda Item 8

REPORT TO: Cabinet Member - Health & Social Care
Overview and Scrutiny Committee (Health and Social Care)
Cabinet
Council

DATE: 19th January 2011
25 January 2011
27th January 2011
27th January 2011

SUBJECT: Adult Social Care Department I.T Capital Programme

WARDS All

AFFECTED:

REPORT OF: Charlie Barker - Strategic Director Social Care and Wellbeing

CONTACT OFFICER: Robina Critchley
Adult Social Care Director - Tele: 0151 934 4900

**EXEMPT/
CONFIDENTIAL:** No

PURPOSE/SUMMARY:

To present the Cabinet Member with information pertaining to the I.T Capital Programme for the Adult Social Care Department. This report proposes to use the ICT Strategy Capital in conjunction with the Adult Social Care infrastructure grant to support the implementation of a new Client Management Database.

REASON WHY DECISION REQUIRED:

The Cabinet Member has delegated powers to approve the proposed schemes and refer them to Cabinet for release.

RECOMMENDATION(S):

The Cabinet Member is recommended to refer the three schemes to Cabinet and Council for approval following their deferment after Cabinet and Council on 2nd September 2010.

The Cabinet is recommended to refer the three schemes to Council for approval following their deferment on 2nd September 2010.

The Council is recommended to approve the following three schemes in the Capital Programme for completion:

- Adult Social Care ICT Strategy. (£194,600)
- Adult Social Care IT Infrastructure Grant 2008/2011. (£317,052)
- Capital Investment for Transformation of Adult Social Care. (£197,000)

Agenda Item 8

KEY DECISION: Yes

FORWARD PLAN: No. – Rule 15 authorised by the Chair of the Overview and Scrutiny Committee (Health and Social Care).

IMPLEMENTATION DATE: Following the expiry of the “call-in” period for the Minutes of the Cabinet Member meeting.

ALTERNATIVE OPTIONS:

None. If the schemes contained in this report are not approved the Adult Social Care Department will not be able to implement a solution for producing electronic assessments /self directed support plans and data quality will not improve. Furthermore, the Department will not achieve safe and accurate information sharing with NHS and this will not enable the development of IT literacy and informatics skills and good practise in recording and use of information on electronic care record systems across the social care workforce.

IMPLICATIONS:

Budget/Policy Framework: As contained in the report

Financial: As contained in the report

<u>CAPITAL EXPENDITURE</u>	2010 2011 £	2011/ 2012 £	2012/ 2013 £	2013/ 2014 £
Gross Increase in Capital Expenditure				
Funded by:				
Sefton Capital Resources				
Specific Capital Resources				
<u>REVENUE IMPLICATIONS</u>				
Gross Increase in Revenue Expenditure				
Funded by:				
Sefton funded Resources				
Funded from External Resources				
Does the External Funding have an expiry date? Y/N	When?			
How will the service be funded post expiry?				

Agenda Item 8

Legal: None

Risk Assessment: None

Asset Management: n/a

CONSULTATION UNDERTAKEN/VIEWS

The Head of Corporate Finance and Information Services has been consulted and has no comments on this report FD580

CORPORATE OBJECTIVE MONITORING:

<u>Corporate Objective</u>		<u>Positive Impact</u>	<u>Neutral Impact</u>	<u>Negative Impact</u>
1	Creating a Learning Community		√	
2	Creating Safe Communities	√		
3	Jobs and Prosperity		√	
4	Improving Health and Well-Being	√		
5	Environmental Sustainability	√		
6	Creating Inclusive Communities	√		
7	Improving the Quality of Council Services and Strengthening local Democracy	√		
8	Children and Young People		√	

LIST OF BACKGROUND PAPERS RELIED UPON IN THE PREPARATION OF THIS REPORT

Capital Programme Review (Agenda Item 8) September 2010

Agenda Item 8

Adult Social Care Department IT Capital Programme.

1.

Background

- 1.1 The report to Cabinet and Council on the 2nd September 2010 (Agenda Item.8 – Capital Programme Review) was to provide Members with details of the uncommitted Capital programme to allow Cabinet to determine which uncommitted capital schemes should be approved or abandoned.
- 1.2 Three of the schemes were deferred pending a further report with no contractual commitment to be entered into. The three schemes were:
 - Adult Social Care ICT Strategy. (£194,600)
 - Adult Social Care IT Infrastructure Grant 2008/2011. (£317,052)
 - Capital Investment for Transformation of Adult Social Care. (£197,000)
- 1.3 The Adult Social Care Department require capital funding to develop an adult social care IT infrastructure. This is required for:
 - Improving information sharing between health and social services.
 - Improving the management records of vulnerable adults and streamlining the financial systems.
 - Improving the statutory obligation to safeguard vulnerable adults throughout the borough.
 - Supporting mobile and flexible working to reduce costs and improve service delivery.
 - Improving and streamlining management information to assist service planning and budget management.
- 1.4 The Local Authority in its statutory obligation to safeguard vulnerable adults across the borough requires modern and up to date IT systems to operate in an efficient and effective way and to minimise risk. Current systems do not meet these requirements and this increases risk in this area of service, particularly in relation to safeguarding.
- 1.5 The capital investment in ICT is considered an “invest to save” programme that will allow the Local Authority to comply with the requirements of the White Paper “Our Health, Our Care, Our Say.” Investment in the Adult Social Care IT infrastructure and workforce reform will enable better integration between Health and Adult Social Care, supporting an improvement to the quality and effectiveness of social care services provided by the Local Authority and enhancing its ability to record, retrieve and share information.
- 1.6 The Adult Social Care Department ICT capital programme is directly related to the Children, Schools & Families IT (Single Child Record) Capital Programme. The costs associated with improving the ICT infrastructure will be shared across the two directorates, given the mutual dependency on systems.

1.7 Sefton had an unannounced inspection of contact, referral and assessment arrangements within Sefton Metropolitan Borough Council Children, Schools and Families Services on 19th and 20th October 2010. Two of the areas for development were identified as follows:

- Some caseloads within assessment teams are excessively high. This impacts on the worker's ability to complete work and delays some children receiving services in a timely way.
- The council has a number of non-integrated electronic and paper recording systems which continue to be a barrier to management oversight and to efficient case management by staff at all levels.

The projects under consideration the Children, Schools & Families IT (Single Child Record) Capital Programme will help address both of these areas by enabling staff to work more efficiently and effectively, but this will not be accomplished without the matched investment from the proposed Adult Social Care Department ICT capital programme.

2 Project Breakdown and Benefits

2.1 The Adult Social Care ICT Strategy and the IT Infrastructure Grant 2008/2011 include funding for:

- Upgrade or replacement of Adult Social Care Case Management System (CMS) including associated infrastructure and services (£291k)
- Upgrade of Business Object reporting platforms across the departments' management information systems (£20k)
- Implementation of the Department of Health's proposal for an "Electronic Social Care Record" (£145k)
- Implementation of a secure "N3" network connection between the Local Authority and PCT (£15k)
- Technology to support mobile and flexible working (£40k)

2.2 The Local Authority currently uses the Northgate "Swift" product as a social care database. Operational user's record information on service users with supporting information on carers, and involvement from other healthcare practitioners to identify service user needs, identify risk review/reassess continued care. The functionality of the "Swift" system is limited. Feedback from operational users suggests that "Swift" is cumbersome, difficult to navigate through screens and does not retain key information within data fields, which results in frequent duplication of work. The DoH expectation is for Local Authorities to have a social care case management system (CMS) that is easily used by partnering agencies involved in the provision of health and social care services. The required system should enable electronic assessments and the sharing of information between health and social care practitioners. The anticipated cost of upgrading or replacing the Adult Social Care Case Management System (CMS), including associated infrastructure and services is £291,000.

If the Local Authority does not commit to the upgrade of the existing social care case management system it will not be able to achieve efficiencies in administering its statutory processes in relation to safeguarding vulnerable adults.

Agenda Item 8

- 2.3 Directly associated with the proposed upgrade of the existing social care case management system, the Local Authority must upgrade its IT based “Business Objects” reporting platform at a cost of £20’000. The SAP “Business Objects” toolsets enable the Local Authority to access, search, query, format and analyze data recorded in the Capita ONE product. The toolsets also enable the authoring of reports which deliver the data as information, which is stored centrally and made selectively available to communities of password-protected users.

If the Local Authority does not commit to the upgrade of the SAP “Business Objects” toolsets it will not be able to turn massive data volumes into information that increases insight, performance and empowers individuals at every level of the organisation to make informed decisions about services for vulnerable adults.

- 2.4 The Electronic Social Care Record (ESCR) brings together all relevant information for a social care user in one place, which typically includes forms, letters, emails, records of phone calls, meetings notes etc. ESCR was successfully piloted in 2008/9 and the capital cost of implementing ESCR for Adult Social Care is £145,000, with a further contribution of £145,000 from Children’s Schools & Families.

Having a record in electronic format enhances the efficiency and responsiveness of the service, making managing the volume of material to be recorded easier, more secure and enabling records to be retrieved simply.

This system will help manage and reduce safeguarding risks.

This links directly to the areas for development highlighted in the unannounced inspection of contact, referral and assessment arrangements within Sefton Metropolitan Borough Council Children, Schools and Families Services, in terms of robust and efficient working. This system will help manage and reduce safeguarding risks for children in need and vulnerable adults.

- 2.5 The proposed upgrade or replacement of the Adult Social Care Case Management System (including associated infrastructure and services) will support a single assessment process, enabling NHS staff from Sefton PCT to directly input/retrieve data or alternatively facilitate the integration of adult social care and NHS case management systems to support information sharing. This sharing of information requires a secured, broadband network connection between the Local Authority and NHS Sefton. The proposed “N3” connection is essential if staffs from both organizations are to use a common system or if data is to be exchanged securely between the organizations separate IT systems. The anticipated cost of implementing the secure “N3” network is £15,000.

If the Local Authority does not commit to installing the secured “N3” network connection it will not be able to achieve efficiencies resulting from as single assessment process and information sharing.

2.6 As part of the Personalisation Agenda, there is a requirement for the joint assessment of the needs of vulnerable people (children and adults), using mobile and remote technology to support workers in the field. To deliver this outcome the Local Authority intends to:

- Provide social service practitioners with secure access to electronic case files, delivered via secure web pages, using dedicated remote devices such as tablets/PDA's or by mediated access over the telephone.
- Ensure that remote access to electronic case files is available whenever officers are working in the community, especially if this is out of normal hours.
- Ensure that electronic case files include full details of contact assessment, referrals and care management, which should include detail of payments, request and delivery dates and the nature of the care being provided.
- Ensure that the integrity and security of information is maintained.
- Work with local partners to implement IT solutions that will enable field workers to perform joint assessments.
- Adopt on or offline connections to back office systems that enable care or health workers to perform a single assessment of the needs of a vulnerable adult or child and trigger the servicing of those needs by multiple agencies.

The anticipated cost of implementing the technology to support mobile and flexible working is £40,000.

2.7 The Capital Investment for Transformation of Adult Social Care includes funding for:

- Business process remodelling/re-engineering
- Raising the skills and remodelling of the of the Adult Social Care workforce

This funding is predominately aimed at transformation and is specifically aligned to changing the way we work as an organisation and building capacity for the future.

3 Summary

3.1 The project broadly comprises three main elements as detailed above: upgrading existing systems, implementing essential new systems and workforce reform, which should result in improved efficiency and reduced risk for vulnerable adults.

3.2 In future of the local authority will be much smaller and systems such as these are the only way of improving efficiency and effectiveness and to continue to provide high quality services.

3.3 The Local Authority will be able to realise efficiencies through increased productivity and reduced administration from implementing an improved ICT system. The benefits realised will allow the Local Authority to transform its workforce and reduce staffing, resulting in financial savings. This will be based on an existing business case developed by the Business Transformation Team which has identified potential savings of some £200,000 from 2012/13 through implementation of a more robust case management system and the re-organisation and streamlining this will support.

Agenda Item 8

3.4 The anticipated outcomes and potential benefits to be realised from the capital investment in ICT from the schemes are:

- Enhance the effectiveness and efficiency of the services provided to vulnerable adults.
- Reduce the time spent tracking down relevant background information about a vulnerable adult, supporting early and potentially less costly interventions.
- Improved information sharing in respect of a person in receipt of services from the Local Authority and Health services, giving a complete, holistic picture of interactions and interventions, and to help ensure that people receive the services they need.
- Support informed decisions by making accurate information accessible to the right people at the right time.
- Increased productivity and reduced administration by improving workforce practice.
- Workforce transformation and restructuring, resulting in financial savings.

4 Recommendation(s)

The Cabinet Member is recommended to refer the three schemes to Cabinet and Council for approval following their deferment after Cabinet and Council on 2nd September 2010.

The Cabinet is recommended to refer the three schemes to Council for approval following their deferment on 2nd September 2010.

The Council is recommended to approve the following three schemes in the Capital Programme for completion:

- Adult Social Care ICT Strategy. (£194,600)
- Adult Social Care IT Infrastructure Grant 2008/2011. (£317,052)
- Capital Investment for Transformation of Adult Social Care. (£197,000)

Agenda Item 11

REPORT TO: Cabinet Member – Regeneration
Cabinet Member – Technical Services
Cabinet
Council
Cabinet Member – Environment

DATE: 19th January 2011
26th January 2011
27th January 2011
27th January 2011
9th February 2011

SUBJECT: REECH (Renewable Energy and Energy Efficiency in
Community Housing) Project

**WARDS
AFFECTED:** Linacre, Derby, Litherland, Netherton & Orrell, Church,

REPORT OF: Andy Wallis – Planning and Economic Development
Director
Alan Lunt – Neighbourhood & Investment Programmes
Director

**CONTACT
OFFICER:** Mo Kundi Tele: 0151 934 3447

**EXEMPT/
CONFIDENTIAL:** No

PURPOSE/SUMMARY:

To inform Members that the REECH Project has now been approved by the North West Development Agency. Subject to the agreement of arrangements for the delivery of Economic Development activity presented elsewhere on the agenda, to seek Members' approval to accept the Offer letter and also to agree the Revenue and Capital financial implications of the project.

REASON WHY DECISION REQUIRED:

Cabinet approval is required for Sefton Council to accept the REECH Project Offer letter.

RECOMMENDATION(S):

1. Members note that the REECH Project was approved by the North West Development Agency on 16th December 2010.
2. Cabinet Members for Regeneration, Technical Services, and Environment note the content of the report and request further progress reports.
3. That subject to the agreement of arrangements for future delivery of Economic Development, referred to in the report on "Transformation Programme and Further Options" included on the agenda for this meeting,
Cabinet: -
 - (a) accept the Offer Letter from the North West Development Agency in relation to the REECH Project, and approve a start date of 1st January 2011.

Agenda Item 11

- (b) request the Council to give approval to the inclusion of the REECH Project in the Capital Programme as set out in Annex A in the sum of £7,170,624 to be fully funded from ERDF grant, and
- (c) agree the Revenue budget for the project as set out in Annex A which requires that the Council provides revenue match funding amounting to £413,862 over 3 calendar years.
4. **Council** be requested to give approval to the inclusion of the REECH Project in the Capital Programme as set out in Annex A in the sum of £7,170,624 to be fully funded from ERDF grant

KEY DECISION: Yes

FORWARD PLAN: Yes – Published on 12th May 2010

IMPLEMENTATION DATE: After the call in period

ALTERNATIVE OPTIONS:

Not to accept the Offer letter from the Regional Development Agency would mean that both Sefton and the rest of the sub-region would lose the opportunity to progress both the climate change, and the low carbon economy agenda, particularly during this financially constrained period.

IMPLICATIONS:

Budget/Policy Framework:

Financial:

The Council is required to provide revenue match funding of £413,862 over 3 calendar years as set out in Annex A.

As regards the Capital element of the project there are no financial implications for the Council. Sefton's role will be to release ERDF grant on receipt of detailed evidence of spend by the Delivery Partners. The Council's Capital Programme will therefore reflect the 50% ERDF element of the project amounting to £7,170,624 as detailed in the following table. The Capital match funding will be provided in total by each of the Delivery Partners as set out in Annex B.

<u>CAPITAL EXPENDITURE</u>	2010/ 2011 £	2011/ 2012 £	2012/ 2013 £	2013/ 2014 £
Gross Increase in Capital Expenditure		3,515,888	3,570,480	84,256
Funded by:				
Sefton Capital Resources				
Specific Capital Resources(ERDF)		3,515,888	3,570,480	84,256

Agenda Item 11

<u>REVENUE IMPLICATIONS</u>				
Gross Increase in Revenue	55,231	198,136	195,110	165,385
Expenditure				
Funded by:				
Sefton funded Resources				
External Resources (ERDF)	55,231	198,136	195,110	165,385
Does the External Funding have an expiry date? Yes	31/12/13			
How will the service be funded post expiry?	Project ceases on 31/12/13			

Legal: N/A

Risk Assessment: Council has already accepted that in taking on responsibility as the Accountable Body for this scheme, the Council would potentially be liable if specific conditions are not met in the spending of this grant. See attached risk analysis at Annex C.

Asset Management: N/A

CONSULTATION UNDERTAKEN/VIEWS

LD 00018/10 – The Acting Head of Corporate Legal Services has been consulted and his comments have been incorporated into this report
 FD603 – The Interim Head of Corporate Finance & IS has been consulted and his comments have been incorporated into this report.

CORPORATE OBJECTIVE MONITORING:

<u>Corporate Objective</u>		<u>Positive Impact</u>	<u>Neutral Impact</u>	<u>Negative Impact</u>
1	Creating a Learning Community	/		
2	Creating Safe Communities	/		
3	Jobs and Prosperity	/		
4	Improving Health and Well-Being	/		
5	Environmental Sustainability	/		
6	Creating Inclusive Communities	/		
7	Improving the Quality of Council Services and Strengthening local Democracy	/		
8	Children and Young People	/		

Agenda Item 11

LIST OF BACKGROUND PAPERS RELIED UPON IN THE PREPARATION OF THIS REPORT

Report to Cabinet dated 10th June 2010 entitled 'REECH (Renewable Energy and Energy Efficiency in Community Housing) Programme'

1.0 Background

1.1 The Cabinet at its meeting on 10th June 2010 considered a report entitled 'REECH (Renewable Energy and Energy Efficiency in Community Housing) Programme' which provided detailed information on the bid submitted to the Regional Development Agency for £7,170,624 ERDF funding. The aim of this sub-regional (including Halton) Programme is to directly stimulate the market for low carbon and environmental technologies and renewable energies via their application within existing social and low income housing.

1.2 The report of 10th June 2010 also provided information on the setting up of the REECH Steering Group, the proposed Programme delivery team, and on financial implications. The Cabinet noted the submission of the REECH Programme bid, and:-

1. Approved the establishment of a REECH Steering Group as outlined in paragraph 3.0 of that report,
2. Agreed that Cabinet Member for Regeneration be appointed Chair of the REECH Steering Group, and that
3. Subject to the REECH Programme bid being successful and a final offer having been made by the Regional Development Agency, requested that a further report be submitted with a view to accepting that offer, and the report to include any financial and operational implications, and
4. Subject to 3 above, agreed to the inclusion of the REECH Programme in the Capital Programme for 2010/11

1.3 Members at their earlier meeting on 17th December 2009 had already agreed to Sefton Council being the accountable body for this sub-regional bid.

2.0 Current Position

2.1 After prolonged discussions and consultations with the Regional Development Agency, the REECH Programme bid was finally submitted to the Agency on 2nd September 2010. As Members may be aware with the proposed demise of the Agency, there has been a significant turn around of staff dealing with ERDF funded projects. During this period a new officer within the Agency indicated that the REECH bid as submitted can not be progressed any further unless the Action Plan approach suggested in the bid was changed. The Agency insisted that the existing bid must be revised and should be based on identification of individual eligible projects (across the sub-region, and over the life of the bid), including their aims, objectives and costing. This was a major change of direction by the Agency, particularly as the Agency had approved the Action Plan based approach clearly articulated within the REECH bid during the earlier Expression of Interest stage, and the Concept stage.

Agenda Item 11

2.2 The Agency also indicated that the end date of December 2013 (by which time all ERDF expenditure must be defrayed) can not be changed. This means, given the size and scale, the project needs to officially start no later than on 1st January 2011. The Agency's revised timetable is as follows:-

- | | |
|---|--------------------------------|
| ▪ Final revised bid to NWDA | 2 nd November 2010 |
| ▪ Responding to issues raised by the Agency | 5 th November 2010 |
| ▪ Project Review Group | 22 nd November 2010 |
| ▪ Programme Monitoring Sub Committee | 30 th November 2010 |
| ▪ NWDA Board | 16 th December 2010 |
| ▪ Offer Letter/Contract issued | 21 st December 2010 |

2.3 Delivery of the REECH programme is dependent upon Cabinet agreeing to the revised arrangements for the delivery of Economic Development activity presented elsewhere on this agenda.

3.0 Individual Projects

3.1 In line with the Agency's request, Officers have worked with Registered Social Landlord (RSL) delivery partners to identify all those projects that would be eligible for ERDF grant, can be delivered within the bid timetable and critically, will lead to outputs required as part of the funding condition. Not surprisingly the request for ERDF support by delivery partners far exceeds the amount the Agency has allocated to the Merseyside sub-region. The allocation for Halton is coming from the budget earmarked for the rest of the North West region, and therefore cannot be spent within the sub-region. The reverse also applies.

3.2 The attached Annex B shows the following elements:-

- RSL delivery partners
- RSLs' proposed geographical delivery areas
- Start and end date of individual RSL projects
- Number of properties involved, their borough wide locations, and the nature of work proposed
- Original ERDF request and revised ERDF allocation

3.3 The total original request for ERDF resources from RSLs came to some £18,775,893, compared to £7,170,624 that is available from the Regional Development Agency. The request therefore, has been scaled back based on the ability to deliver within the bid time scale, the type and number of energy measures proposed and the outputs produced and match provided. As set out in Annex B, the ERDF allocation in terms of geographical spread is now as follows; Liverpool (£526,157), Wirral (£688,237), Knowsley (£2,002,144), Sefton (£1,958,606), St Helens (£1,692,900). In the case of Halton (£302,580) the allocation has gone up as the amount available is ring fenced.

4.0 Financial Implications

As a result of the revised approach suggested by the Agency, and the fact that the project start date has changed from October 2010 to 1st January 2011, there have been changes to the financial tables provided in the previous Cabinet report. The tables in Annex A have been revised to reflect these changes.

4.1 Revenue

The total revenue cost of managing the project over the 3 calendar years is estimated to be £1,227,724. ERDF grant amounting to £613,862 will be received based on a 50% intervention rate.

This will leave the same amount to be found as match funding. Sefton Council will provide the largest share of the match funding in the sum of £413,862 over the 3 calendar years. This is based on the fact that Sefton needs to have maximum control of the REECH Project, which in turn allows Sefton to benefit directly from receiving 50% of the ERDF (£613,862) revenue match, and more critically allows Sefton to develop expertise in this particular field, which is likely to offer significant future opportunities. Each of the 5 other Local Authorities will contribute £40,000 in staff time over the 3 calendar years.

The Council would need to employ seven full time staff to manage the project. It has been agreed with the Regional Development Agency, given the current budget situation, that these posts will be restricted in the first instance, to those staff currently at risk within the Council, and will be dealt with in accordance with current policies and procedures. By agreeing to this method of recruitment, Sefton would save circa £337,000 over 3 calendar years.

4.2 Capital

As regards the Capital element of the project, there are no financial implications for the Council. Sefton's role will be to release ERDF grant on receipt of detailed evidence of spend by the Delivery Partners. As set out in Annex A, the Council's Capital Programme will therefore reflect the 50% ERDF element of the project amounting to £7,170,624. The Capital match funding will be provided in total by each of the Delivery Partners.

5.0 Comments

- 5.1 This is the first time that the European Commission has allowed the use of ERDF grant for housing related activities that were excluded from previous Objective 1 Programmes. Because of different rules and regulations pertaining to the housing sector, it has been a major learning curve for both the Regional Development Agency and Sefton Officers. However, I am please to report that after this lengthy application process the REECH Project was finally approved by the NWDA's Board at it's meeting on 16th December 2010.

Agenda Item 11

6.0 Recommendations

6.1 It is recommended that:-

- Members note that the REECH Project was approved by the North West Development Agency on 16th December 2010.
- Cabinet Members for Regeneration, Technical Services, and Environment note the content of the report and request further progress reports.
- That subject to the agreement of arrangements for future delivery of Economic Development, referred to in the report on “Transformation Programme and Further Options” included on the agenda for this meeting, **Cabinet:** -
 - (a) accept the Offer Letter from the North West Development Agency in relation to the REECH Project, and approve a start date of 1st January 2011.
 - (b) request the Council to give approval to the inclusion of the REECH Project in the Capital Programme as set out in Annex A in the sum of £7,170,624 to be fully funded from ERDF grant, and
 - (c) agree the Revenue budget for the project as set out in Annex A which requires that the Council provides revenue match funding amounting to £413,862 over 3 calendar years.
- **Council** be requested to give approval to the inclusion of the REECH Project in the Capital Programme as set out in Annex A in the sum of £7,170,624 to be fully funded from ERDF grant

Agenda Item 11

ANNEX A

FUNDING OF REVENUE COSTS

Revenue Budget Head	Estimated Cost	Funding						
		ERDF	Sefton	Liverpool	Knowsley	St Helens	Wirral	Halton
	£	£	£	£	£	£	£	£
Staff costs	959,450	479,725	279,725	40,000	40,000	40,000	40,000	40,000
Accommodation costs	49,500	24,750	24,750					
Evaluation & Audit fees	85,000	42,500	42,500					
Running costs	30,000	15,000	15,000					
Research & technical support	30,000	15,000	15,000					
Events & Complementary support	73,774	36,887	36,887					
Total	1,227,724	613,862	413,862	40,000	40,000	40,000	40,000	40,000

REVENUE CALENDAR YEARS

Revenue Budget Head	Estimated Cost	2,011	2,012	2,013	2,014
	£	£	£	£	£
Staff costs	959,449	317,312	319,200	322,937	0
Accommodation costs	49,500	16,500	16,500	16,500	0
Evaluation & Audit fees	85,000	30,000	9,000	9,000	37,000
Running costs	30,000	10,000	10,000	10,000	0
Research & technical support	30,000	10,000	10,000	10,000	0
Events & Complementary support	73,775	24,000	24,000	25,775	0
Total	1,227,724	407,812	388,700	394,212	37,000

Agenda Item 11

REVENUE – FINANCIAL YEARS

ANNEX A

Revenue Budget Head	Estimated Cost	2010/11	2011/12	2012/13	2013/14 & later
	£	£	£	£	£
Staff costs	959,449	80,337	316,772	320,720	241,620
Accommodation costs	49,500	4,125	16,500	16,500	12,375
Evaluation & Audit fees	85,000	15,000	19,000	9,000	42,000
Running costs	30,000	2,500	10,000	10,000	7,500
Research & technical support	30,000	2,500	10,000	10,000	7,500
Events & Complementary support	73,775	6,000	24,000	24,000	19,775
Total	1,227,724	110,462	396,272	390,220	330,770

CAPITAL CALENDAR YEARS

Capital	2,011	2,012	2,013	2,014	Total
	£	£	£	£	£
Delivery Partners match Funding	2,220,696	4,745,425	204,504	0	7,170,625
ERDF grant	2,220,696	4,745,424	204,504	0	7,170,624
Total forecast capital spend	4,441,392	9,490,849	409,008	0	14,341,249

CAPITAL FINANCIAL YEARS

Capital	2010/11	2011/12	2012/13	2013/14	Total
	£	£	£	£	£
Delivery Partners match Funding	0	3,515,889	3,570,480	84,256	7,170,625
ERDF grant	0	3,515,888	3,570,480	84,256	7,170,624
Total forecast capital spend	0	7,031,777	7,140,960	168,512	14,341,249

ANNEX B

Msida ERDF Capital Allocation	6868044
Halton ERDF Capital Allocation	£302,580
Total ERDF Capital Allocation	£7,170,624

Technologies								
SWI	SWH	Gas savers	Air source	MHRV	Passive Vent	LED Lighting	Dry Lining	Triple Glazing

Technology Unit Cost	6586	3500	750	5669	450	450	160	3581	4297
-----------------------------	------	------	-----	------	-----	-----	-----	------	------

Applicant	Scheme	Sub Region Allocation	ERDF	Total Project Cost	No. Properties	SWI	SWH	Gas savers	Air source	MHRV	Passive Vent	Led	Dry lining	Triple Glazing
Knowsley Warrington Warrington Warrington Warrington	Stockbridge	£2,002,144	£2,002,144	£4,004,288	608	608								
	Energy Eff a	£526,157	£171,317	£342,635	85					85			85	
	Neigh Solar		£144,500	£289,000	68		68	68						
	Demo		£51,335	£102,670	5	5	5		5		5	1		5
	Everton Energy		£43,750	£87,500	25		25							
Sefton Plus Dane	L8	£1,958,606	£115,255	£230,510	35	35								
Riverside OVH OVH Plus Dane	Peel Rd		£829,836	£1,659,672	252	252								
	Lowton Cubitt		£492,520	£985,040	140	140					140			
	Roof Scheme		£592,500	£1,185,000	300		300				300			
	Bootle Solar		£43,750	£87,500	25		25							
St. Helens Helena	Acre Green	£1,692,900	£1,692,900	£3,385,800	300	300	300	300			300			
Wirral WPH	Woodward	£688,237	£688,237	£1,376,474	209	209								
Halton Plus Dane	Castlefields	£302,580	£302,580	£605,160	60	60	60							
Totals		£7,170,624	£7,170,624	14,341,249	2112	1609	783	368	5	85	745	1	85	

		Total Project Cost	ERDF	Match
Knowsley				
Villages	Stockbridge	8,686,802	3,474,721	5,212,081
Liverpool				
Plus Dane	Everton 4 Bed	150,000	75,000	75,000
Plus Dane	Everton Energy	125,000	62,500	62,500
Plus Dane	Kensington	312000	156000	156000
Plus Dane	L8	280000	140000	140000
Good Neighbour	Neighbourhood Solar	477495	238495	239000
Liverpool Hsg Trust	Energy Efficiency	612400	306200	306200
Liverpool Mutual	Demo	95050	47525	47525
Merseyside				
Plus Dane	Bootle Solar	125000	62500	62500
Merseyside	Peel Road	4072000	2036000	2036000
Merseyside Vision	Oxford/Irlam	4950400	2475200	2475200
One Vision	Lowton Cubitt	1366400	683200	683200
One Vision	Roof Scheme	3467800	1733900	1733900
One Vision	LED Programme	5580000	2790000	2790000
St Helens				
Helena	Acre Green	6000000	3000000	3000000
St Helens Council	External Wall Insul	115000	57500	57500
Wirral				
Wirral Partnership	Woodward Estate	2524304	1262152	1262152
Plus Dane	Castlefields	350000	175000	175000
Grand Total		39289651	18775893	20513758

ERDF Capital Requests

	ERDF Requested	ERDF Allocated	%
Knowsley	3,474,721	£2,002,144	28
Liverpool	1,025,720	£526,157	7
Sefton	9,780,800	£1,958,606	27
St Helens	3,057,500	£1,692,900	24
Wirral	1,262,152	£688,237	10
Halton £300k Allocation	175,000	£302,580	4
	£ 18,775,893.00	£7,170,624	

NB Plus Dane submitted a draft ERDF Revenue request (£350k) for Smart Grid this was ineligible for funding.

Knowsley	3474721
Liverpool	1025720
Sefton	9780800
St Helens	3057500
Wirral	1262152
	<u>£ 18600893</u>
Halton	175000
Grand Total	<u>£ 18775893</u>

Key

Solid Wall Insulation (SWI), Solar Water Heat (SWH), Mechanical Heat Recovery (MHRV)

RISK ASSESMENT

ANNEX C

Risk Description	(a) Probability (Score 1-5)	(b)Impa ct (Score 1-5)	(c) Over all Risk (a x b)	Review Date	Risk Owner	Mitigation: What can be done to reduce risk or what contingency plans will be in place?	Residual Risk	
							Likelihood	IMPACT
Financial and Legal risk	1	5	5	On going	Sefton Council	Sefton would be undertaking the role of Programme management. Tendering exercise will be undertaken in accordance with ERDF regulations and successful tenderer will be legally and financially duty-bound to deliver the agreed out puts and out comes. Also payments will only be made on defrayed eligible expenditure.	0	0
Project not approved by the RDA	2	1	2	On going	Sefton Council	In the event the project is not approved, there are no cost implications to Sefton or to other delivery partners involved in the programme.	0	0
Project approved but ERDF grant reduced	3	2	6	On going	Sefton Council & Delivery Partners	In the event the ERDF allocation is reduced, the works programme will also be reduced accordingly. Depending on the reduction in the allocation, the delivery team may need to be reduced, and the nature and geographical spread of activity may also be curtailed.	0	0

	2	2	4	On going	RSL Delivery Partners/Sefton Council	Successful delivery contracts would be with the RSLs, who will then engage contractors to undertake the work. It will be the RSL who having signed the conditional offer letter legally and contractually obliged to complete agreed works. Failure to do so mean that they will not get paid, and Sefton would be in a position to claw back any money for non achievement of out puts.	0	0
RSL goes into Administration	1	5	5	On going	Sefton Council	Sefton Council will undertake financial credit rating of RSLs during the tendering exercise.	0	0
Individual Project cost overruns	3	2	6	On going	Delivery Partners & Sefton Council	Sefton Council will monitor RSLs, and their contracts very closely, and where necessary will ask RSLs to take corrective actions. Council will have no legal or contractual agreement to pay for any cost overruns incurred by the RSL or their contractors. This risk will lie entirely with the relevant RSL	0	0
Overall Programme cost overruns	1	5	5	On going	Sefton Council	Regular monitoring of contracts with delivery partners, and Management delivery costs will be undertaken, and reported both to the Steering Group and the Cabinet.	0	0
Delays/time constraints	3	2	6	On going	Sefton Council & Delivery Partners	At the start of the project, a reserve list of schemes would be produced and if some projects do not materialise, schemes could be brought forward from the reserve list	0	0

Local Supply Capacity problems	2	2	4	On going	Sefton Council & Delivery Partners	The Sefton Delivery Team is already engaging organisations such as Fusion 21(local supply chain company set up to work with RSLs) and Envirolink NW in order to raise awareness of the opportunities arising from this programme and help to find solutions for capacity development.	0	0
Skilled labour constraints	2	2	4	On going	Sefton Council & Delivery Partners	Working with other partners in the sub region/region in order to anticipate labour constraints and jointly work with them in order to address them	0	0
Funding problems	3	2	6	On going	Sefton Council & Delivery Partners	Increase the contribution from alternative sources of funding or seek extended timescales on the delivery of the project. As part of this development stage Sefton has sourced and collated Expressions Of Interest for more than double the bid amount so we have many potential projects in reserve	0	0
Technical barriers	3	1	3	Approval Stage and start of works	Sefton Council & Delivery Partners	This is will be addressed as part of the tendering exercise, where detailed appraisal and assessment will be undertaken of each tenderer.	0	0
During and post project responsibilities include safeguarding, and archiving of information	5	1	5	On going	Sefton Council & Delivery Partners	Steps will be undertaken at the start of the project to ensure that all relevant information is labelled, safely secured and archived and readily available should it become necessary in the event of audit work.	5	1